

Director's Office 6060 Broadway Denver, CO 80216 P 303.297.1192

To: Colorado Parks and Wildlife Commission

From: Mike Quartuch, Ph.D., Human Dimensions Specialist/Researcher, Policy and

Planning

Date: December 30, 2020

Re: The Importance of Stakeholder Engagement: Overview and Best Practices

Background

Stakeholder engagement processes are critical to the successful implementation of, and outcomes related to, wildlife and natural resource management efforts (Beierle, 2002). Stakeholder engagement refers to both the process of, and approaches used for, involving citizens in decision making efforts (Loker et al., 2008; Reed, 2008). Given the importance of situation-specific or contextual factors in any natural resource management planning process, there is no "one-size-fits-all" approach to engaging stakeholders (Wilson et al., 2017). However, scholars have identified attributes of "successful" stakeholder engagement, as well as criteria used to evaluate the processes and outcomes of that engagement (Chase et al., 2004; Chess & Purcell, 1999). Scholars have also identified challenges and barriers associated with engagement processes which, when not adequately addressed or taken into consideration, may lead to flawed processes and corresponding outcomes.

Best practices and benefits associated with robust stakeholder engagement processes

Throughout most of the literature on stakeholder engagement several commonalities or best practices are described in detail. These attributes often transcend disciplinary field (e.g., urban planning, anthropology, public policy, and natural resource management) and often focus on the process of stakeholder engagement rather than the method (or tool) used to engage citizens (see Chase et al., 2004 as an exception). The latter are important but as Reed (2008) argues, successful engagement processes should strive to "replace the 'tool-kit' approach to participation, which emphasizes selecting the relevant tools for the job" because "the quality of a decision is strongly dependent on the quality of the process that leads to it" (p. 2421-2422). Ultimately, successful stakeholder engagement processes are inclusive, equitable, efficient, collaborative, participatory in nature, and, in the context of natural resource management, include biological/ecological as well as social goals (Blahna & Yonts-Shepard, 1989; Lauber & Knuth, 1999; Lord & Cheng, 2006; Renn et al., 1995; Smith & McDonough 2001; Susskind & Cruikshank, 1987). Additional best practices include: engaging the public early and often in meaningful ways (so as to empower participants); incorporating public involvement opportunities throughout the entire planning process; obtaining data from representative stakeholders using a variety of methods; and using these data in decision making processes (Blahna & Yonts-Shepard, 1989; Chase et al., 2004, Reed, 2008). Lastly, scholars emphasize the importance of having a neutral and skilled, third party facilitator to moderate engagement efforts (Reed, 2008).



When these aspects are meaningfully integrated into stakeholder engagement processes they help minimize conflict, reduce the likelihood that stakeholders or groups are marginalized, legitimize planning processes and outcomes, empower stakeholders, improve trust (especially when processes are transparent), promote social learning, lead to higher quality decisions, and increase knowledge, awareness, and overall support for decision making (Plummer et al. 2017; Okali et al., 1994; Richards et al., 2004; Serenari et al., 2018; Smith & McDonough 2001)

Common tools or approaches used to engage stakeholders

A variety of methods exist to engage stakeholders which differ depending on the level of engagement required of participants (Reed, 2008). For example, informational tools often include educational "tours," open houses, Commission/governing board meetings, or public meetings. Social science surveys, focus groups or one-on-one interviews often serve as a means to "consult" with members of the public; while citizen juries, community advisory boards, roundtables, or citizen task forces represent more participatory approaches (Laurian, 2007; Loker et al., 1998; Lord & Cheng, 2006; Rowe & Frewer, 2000; Stoudt et al., 1996). When determining which approach or combination of approaches is appropriate, several aspects should be taken into consideration. Specifically, the method or approach should align with the broad goal(s) and specific objectives for each effort and the socio-political (and cultural) context should also be taken into consideration (Waters & Mars; Reed, 2008; Serenari et al., 2018; Walker & Hurley, 2004).

Detrimental outcomes associated with minimal or limited stakeholder engagement

Even the most well-intentioned and carefully planned engagement processes will present challenges. Decision makers must incorporate ways to overcome such barriers in the planning process. Scholars have identified several barriers to effective stakeholder engagement spanning the entire lifecycle of the process (i.e., before, during, and after). Examples include: lack of public interest or awareness; limited or lack of broad participation; concerns about (or an unwillingness to devolve) power; lack of direction, commitment, or leadership from those involved in managing the process; poor (i.e., one-way) communication between agency/organization leads and the public; shortages of funding, time, and other administrative resources; perceptions of or concerns about special interests/politics driving the process and decision making; and distrust among stakeholder groups (Loker et al. 1998; Lord & Cheng 2006; Hiroyasu et al. 2019; Lauber, 2010; Lute & Gore 2014; Margerum, 2005; Mortenson & Krannich, 2001; Pomeranz & Decker, 2017; Wondolleck & Yaffee 2000). When these attributes are not taken into consideration or public opinions and values are excluded from engagement processes, unintended consequences can occur. These include mistrust in the managing agency, disregard for process outcomes, and in extreme situations, intentional derailment (Heberlein, 2004; Homsy & Hart, 2019; Walker & Hurley, 2004).

In order to implement a robust stakeholder engagement process that aligns with best practices identified above, CPW is considering a range of opportunities for citizens and the agency to work together to develop a plan for reintroducing wolves in Colorado. Assistant Director Reid DeWalt will go into those in more detail during his presentation at the January meeting.

References

- Beierle, T.C. 2002. The quality of stakeholder-based decisions. *Risk Analysis*, 22, 739-749.
- Blahna, D.J., & Yonts-Shepard, S. (1989) Public involvement in resource planning: Toward bridging the gap between policy and implementation, *Society & Natural Resources*, 2:1, 209-227, DOI: 10.1080/08941928909380686.
- Chess, C., & Purcell, K. (1999). Public participation and the environment: Do we know what works? *Environmental Science and Technology*, 33, 2685-2692.
- Chase, L.C., Decker, D.J., & Lauber, B. (2004). Public participation in wildlife management: What do stakeholders want? *Society and Natural Resources*, 17(7), 629-639.
- Heberlein, T.A. (2004). "Fire in the Sistine Chapel": How Wisconsin responded to chronic wasting disease. *Human Dimensions of Wildlife*, 9(3), 165-179, DOI:10.1080/10871200490479954.
- Hiroyasu, E.H.T., Miljanich, C.P., & Anderson, S.E. (2019). Drivers of support: The case of species reintroduction with an ill-informed public. *Human Dimensions of Wildlife*, 24(5), 401-417.
- Homsey, G.C., & Hart, S. (2019). Sustainability backfire: The unintended consequences of failing to engage neighborhood residents in policymaking. *Journal of Urban Affairs*, DOI:10.1080/07352166.2019.1607746.
- Lute, M.L., & Gore, M.L. (2014). Knowledge and power in wildlife management. *The Journal of Wildlife Management*, 78(6), 1060-1068.
- Lauber, B. (2010). Community-based deer management: Learning and community capacity. Human Dimensions Research Unit Publ. Series (10-1). Dept. of Nat. Resources, Coll. of Agric. and Life Sci., Cornell University, Ithaca, NY. 34 pp.
- Lauber, B.T, & Knuth, B.A. (1999). Measuring fairness in citizen participation: A case study of moose management. *Society and Natural Resources*, 12, 19-37.
- Laurian, L. (2007). Deliberative planning through citizen advisory boards. *Journal of Planning Education and Research*, 26, 415-434.
- Loker, C.A., Decker, D.J., Chase, L.C. (1998). Ballot initiatives—antithesis of human dimensions approaches or catalyst for change? *Human Dimensions of Wildlife*, 3(2), 8-20, DOI:10.1080/10871209809359121.
- Lord, J.K., & Cheng, A.S. (2006). Public involvement in state fish and wildlife agencies in the U.S.: A thumbnail sketch of techniques and barriers. *Human Dimensions of Wildlife*, 11(1), 55-69.
- Margerum, R.D. (2005). Collaborative growth management in metropolitan Denver: "Fig leaf or valiant effort?" *Land Use Policy*, 22, 373-386.

- Mortenson & Krannich, R. (2001). Wildlife managers and public involvement: Letting the crazy aunt out. *Human Dimensions of Wildlife*, 6(4), 277-290, DOI:10.1080/108712001753473957.
- Okali, C., Sumberg, J., & Farrington, J. (1994). Farmer participatory research: rhetoric and reality. Intermediate Technology Publications Ltd.
- Plummer, R., Dzyundzyak, A., Baird, J., Bodin, O., Armitage, D., & Schultz, L. (2017). How do environmental governance processes shape evaluation of outcomes by stakeholders? A causal pathways approach. *PLoS ONE*, 12(9), 1-13.
- Pomeranz, E.F., & Decker, D.J. (2017). Designing regional-level stakeholder engagement processes: Striving for good governance while meeting the challenges of scale. *Journal of Environmental Policy & Planning*, DOI:10.1080/1523908X.2017.1417119.
- Reed, M.S. (2008). Stakeholder participation for environmental management: A literature review. *Biological Conservation*, 141(10), 2417-2431.
- Renn, O., Webler, T., & Wiedemann, P. (1995). The Pursuit of Fair and Competent Citizen Participation. In Fairness and Competence in Citizen Participation (pp. 339-367). Springer Netherlands. https://doi.org/10.1177/016224390002500101
- Richards, C., Blackstock, K.L., & Carter, C.E. (2004). Practical approaches to participation. Macaulay Land Use Research Institute, Aberdeen.
- Rowe, G., & Frewer, L. J. (2000). Public participation methods: A framework for evaluation. In Science Technology and Human Values (Vol. 25, Issue 1, pp. 3-29). https://doi.org/10.1177/016224390002500101
- Serenari, C., Cobb, D.T., & Peroff, D.M. (2018). Using policy goals to evaluate red wolf reintroduction in eastern North Carolina. *Human Dimensions of Wildlife*, 23(4), 359-374, DOI:10.1080/10871209.2018.1444827.
- Smith, P.D., & McDonough, M.H. (2001). Beyond public participation: Fairness in natural resource decision making. *Society and Natural Resources*, 14, 239-249.
- Stout, R.J., Decker, D.J., Knuth, B.A., Proud, J.C., & Nelson, D.H. (1996). Comparison of three public-involvement approaches for stakeholder input into deer management decisions: A case study. *Wildlife Society Bulletin*, 24(2), 312-317.
- Susskind, L., & Cruikshank, J. (1987). Breaking the impasse: Consensual approaches to resolving public disputes. New York: Basic Books, Inc.
- Walker, P.A., & Hurley, P.T. (2004). Collaboration derailed: The politics of "community-based" resource in Nevada County. *Society and Natural Resources*, 17(8), 735-751.
- Waters, K.M., & Mars, M.M. (2020). Rancher perceptions of and attitudes toward Mexican gray Wolves: An exploration of community dialogue, DOI: 10.1080/10871209.2020.1793034.

- Wilson, S.M., Bradley, E.H., & Neudecker, G.A. (2017). Learning to live with wolves: Community-based conservation in the Blackfoot Valley of Montana. *Human-Wildlife Interactions*, 11(3), 245-257.
- Wondolleck, J. M., & Yaffee, S. L. (2000). Making collaboration work: Lessons from innovation in natural resource management. Washington, DC: Island Press.